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(Modified) PTO/SB/21 (6-98)
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10/004,357 **Application Number** October 29, 2001 **Filing Date** Linda A. Castle **First Named Inventor** 

for all correspondence after initial filing)		Group Art Unit	1645
		Examiner Name	Unassigned
Total Number of Pages in This Subm	ission	Attorney Docket Numbe	02-107010US
ENCLOSURES (check all that apply)			
Y Response to Missing Parts /	Assignment Papers (for an Application)  Inached Drawing(s) Appeal Communication to Board of Appeals and Interferences  Appeal Communication to Group Appeal Communication to Group (Appeal Notice, Bref. Reply Brief)  Petition Routing Slip (PTO/SB/69) and Accompanying Petition Proprietary Information  Petition to Convert to a Provisional Application Prover of Attorney, Revocation Change of Correspondence Address  Indonment Request Small Entity Statement Small Entity Statement Papers Appeal Communication to Group (Appeal Notice, Bref. Reply Brief)  Proprietary Information Status Letter  X Additional Enclosure(s) (please identify below):  Copy of response to missing parts filled March 15, 2002, copy of 3/15/2002 acknowledgement postcard, copy of notice of incomplete reply and acknowledgment postcard.  Request for Refund Authorization to Charge Deposit Account No. 50-0893 for any additional fees associated with this paper or during the pendency of this application, including any extensions of time for consideration of the documents enclosed.		
Response to Missing Parts under 37 CFR 1.52 or 1.53	round		
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm or Jonathan Alan Quine, Reg. No. 41,261, Quine Intellectual Property Law Group P.C.  Signature			
Date April 18, 2002			
CERTIFICATE OF MAILING  I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: April 18, 2002			
Typed or printed name   Juliana Hermes			
Signature Date April 18, 2002			
The same of the sa			

APR 2 9 2002 M

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:
Assistant Commissioner for Patents,
Attn: Box Missing Parts,

Washington, D.C. 20231, on April 18, 2002

QUINE INTELLECTUAL PROPERTY LAW GROUP P.C.

Juliana Hermes

### COPY OF PAPERS ORIGINALLY FILED

Attorney Docket No. 02-107010US

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Linda A. Castle et al.

Application No.: 10/004,357

Filed: October 29, 2001

For: NOVEL GLYPHOSATE N-ACETYL

TRANSFERASE (GAT) GENES

Examiner: Unassigned

Art Unit: 1645

TRANSMITTAL LETTER - RESPONSE TO NOTICE OF INCOMPLETE REPLY

Attn: Box Missing Parts

Assistant Commissioner for Patents

Washington, D.C. 20231

Sir:

Pursuant to the "Notice of Incomplete Reply (Nonprovisional) - Filing Date Granted" dated April 9, 2002, enclosed are the following to be made of record in the above-identified application:

- 1) Copy of Response to Notice of Missing Parts filed March 15, 2002 with
- enclosures;
- 2) Preliminary Amendment;
- 3) Copy of Notice of Incomplete Reply:
- 4) Copy of acknowledgement postcard sent with the March 15, 2002 submission and stamped received by the USPTO on March 25, 2002;
- 5) Transmittal Sheet; and,
- 6) Receipt indication postcard.

Linda A. Castle et al.

Application No.: 10/004,357

Page 2

A response to the Notice of Missing Parts dated December 31, 2001 was filed on March 15, 2002, enclosing a signed declaration, powers of attorney from both assignees with statements under 37 CFR 3.73(b) and copies of the assignments, formal drawings, a cover letter, a transmittal sheet and a fee transmittal sheet. The sequence listing was mailed under separate cover to Box Sequence Listing, P.O. Box 2327, Arlington, VA 22202 as specified, due to current mail problems. The current Notice of Incomplete Reply dated April 9, 2002 indicates that a signed oath or declaration, formal drawings and an abstract are still required. Applicants believe that the current Notice of Incomplete Reply was based on the receipt of the sequence listing alone as the declaration and formal drawing were indeed submitted. Applicants are enclosing a copy of the complete response mailed to Box Missing Parts, Washington, DC 20231 on March 15, 2002 as well as the receipt acknowledgement postcard, which lists all enclosures and indicates the response was received by the Patent and Trademark Office on March 25, 2002.

Applicants acknowledge that the abstract, one of the items requested on the Notice of Missing Parts dated December 31, 2001, was missing from the response and a preliminary amendment inserting the abstract is enclosed herewith.

Applicant's believe that the response to the Notice of Missing Parts filed on March 15, 2002 was a bona fide response and therefore should have been granted a 30 day period to correct any defects in the response with no further extension fees due. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 50-0893. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Jonathan Alan Quine, J.D., Ph.D.

Reg. No. 41.261

QUINE INTELLECTUAL PROPERTY LAW GROUP, P.C.

P.O. BOX 458

Alameda, CA 94501

(510) 337-7871

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# STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE

WASHINGTON, D.C 2023I WWW.uspto.gov

APPLICATION NUMBER

FILING RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/004,357

10/29/2001

Linda A. Castle

02-107010US

22798 LAW OFFICES OF JONATHAN ALAN QUINE P O BOX 458 ALAMEDA, CA 94501 CONFIRMATION NO. 3626
FORMALITIES LETTER
\*OC000000007827490\*

Date Mailed: 04/09/2002

# NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

#### Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on 03/15/2002 to the Notice to File Missing Parts Notice) mailed 12/31/2001 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

acomplete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

he required items noted below SHOULD be filed along with any items required above. The filing date of this for provisional application will be the date of receipt of the items required above.

• The oath or declaration is unsigned.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1 84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2 5 cm. (1 inch), a right side margin of at least 1 5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- An abstract was not provided for this application. An abstract of the technical disclosure is required under 37 CFR 1.72(b)

Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
WWW.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/004,357

10/29/2001

Linda A. Castle

02-107010US

22798 LAW OFFICES OF JONATHAN ALAN QUINE P O BOX 458 ALAMEDA, CA 94501 CONFIRMATION NO. 3626 FORMALITIES LETTER
\*OC000000007244032\*

Date Mailed: 12/31/2001

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

#### FILED UNDER 37 CFR 1.53(b)

#### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
   Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$5446.
  - **\$4410** for **245** total claims over 20.
  - \$756 for 9 independent claims over 3.
  - \$280 for multiple dependent claim surcharge.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 6316.

The application is informal since it does not comply with the regulations for the reason(s) indicated below

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- An abstract was not provided for this application. An abstract of the technical disclosure is required under 37 CFR 1.72(b).

 • This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

### For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Ar Sing Sin

Mark the state

Initial Patent Examination Division (703) 308-1202

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